



PTO/SB/08B (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/723,873          |
| Filing Date            | November 26, 2003   |
| First Named Inventor   | Ihab M. Hekal       |
| Art Unit               | 1732                |
| Examiner Name          | Suzanne E. McDowell |
| Attorney Docket Number | 62357.016301        |

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| Sheet | 1 | of | 13 |
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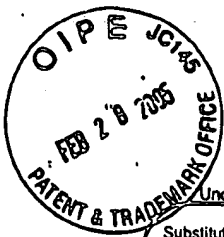
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|--------------------|-----------------------|---|----------------|
| Shi                | 1                     | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S FIFTH SUPPLEMENTAL OBJECTIONS AND RESPONSES TO CSP'S FIRST SET OF INTERROGATORIES NOS. 1-11", (Pages 1-70)  |                |
|                    |                       | (Attachment: "The Prior Art Invalidates The Asserted Claims") (Pages 1-15)  |                |
|                    | 2                     | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S SUPPLEMENTAL RESPONSES TO SCI'S FIRST SET OF INTERROGATORIES NOS. 1-4", (Pages 1-8)   |                |
|                    |                       | (Attachment: "Exhibit A" - Chart Comparing U.S. Patent No. 5,911,937, 6,124,006 and 6,214,255 to "SCI Infringing Product")  |                |
|                    | 3                     | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S SECOND SUPPLEMENTAL RESPONSES TO SCI'S FIRST SET OF INTERROGATORIES NOS. 1-4", (Pages 1-14)   |                |
|                    |                       | (Attachment: "Exhibit A"-Letter From Kevin W. McCabe to Margaret M. Duncan, Esq., "Exhibit B"-Dictionary (Page 847), "Exhibit C"-Dictionary (Page 756)  |                |
|                    |                       | "Exhibit D"-Dictionary (Page 1305), "Exhibit E" (Page 1250), "Exhibit G"-Chart Comparing U.S. Patent No. 5,911,937, 6,124,006 and 6,214,255 to "SCI Infringing Product")  |                |
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|--------------------|----------------------------|-----------------|------|
| Examiner Signature | <i>Suzanne E. McDowell</i> | Date Considered | 5/05 |
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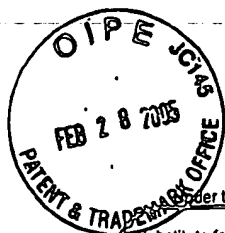
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| SN                 | 4                     | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S THIRD SUPPLEMENTAL RESPONSES TO SCI'S FIRST SET OF INTERROGATORIES NOS. 1-4", (Pages 1-18)  |                |
|                    |                       | (Attachment: "Exhibit A"-Letter From Kevin W. McCabe to Margaret M. Duncan, Esq., "Exhibit B"-Dictionary (Page 22), "Exhibit C"-Dictionary (Page 847)   |                |
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|                    |                       | "Exhibit I"-Chart Comparing U.S. Patent No. 5,911,937, 6,124,006 and 6,214,255 to "SCI Infringing Product")   |                |
|                    | 5                     | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S FOURTH SUPPLEMENTAL RESPONSES TO SCI'S FIRST SET OF INTERROGATORIES NOS. 1-4", (Pages 1-15)   |                |
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| Attorney Docket Number | 62357.016301        |

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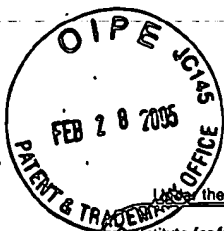
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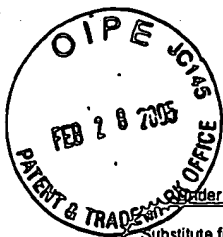
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| 82                              | 15                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "DECLARATION OF ROBERT S. ABRAMS IN SUPPORT OF CSP TECHNOLOGIES, INC.'S MOTION TO DISMISS OR TRANSFER SUD-CHEMIE'S-  |                |
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|   |       | Art Unit                 |  |
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| SN                              | 20                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "JOINT PROPOSED CASE MANAGEMENT PLAN", (Pages 1-21)  |                |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |       | <b>Complete if Known</b> |                     |
|   |       | Application Number       | 10/723,873          |
|   |       | Filing Date              | November 26, 2003   |
|   |       | First Named Inventor     | Ihab M. Hekal       |
|   |       | Art Unit                 | 1732                |
|   |       | Examiner Name            | Suzanne E. McDowell |
| Sheet 7   | of 13 | Attorney Docket Number   | 62357.016301        |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| SM                              | 32                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: CSP TECHNOLOGIES' REPLY IN SUPPORT OF ITS MOTION TO DISMISS SUD-CHEMIE'S DECLARATORY JUDGMENT ACTION AS TO CERTAIN PATENTS AND-<br>PRODUCTS", (Pages 1-15)   |                |
|                                 | 33                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S OPPOSITION TO CSP'S REPLY IN SUPPORT OF ITS MOTION FOR LEAVE TO AMEND ITS ANSWER AND COUNTERCLAIMS", (Pages 1-8)  |                |
|                                 | 34                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "PLAINTIFF SCI'S REPLY BREIF ON THE CONSTRUCTION OF THE '937, '006, AND '255 PATENTS", (Pages 1-22)  |                |
|                                 | 35                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S RESPONSE TO SCI'S BREIF ON CLAIM CONSTRUCTION", (Pages 1-36)  |                |
|                                 | 36                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT", (Pages 1-12)   |                |
|                                 | 37                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "PLAINTIFF SCI'S BREIF IN SUPPORT OF ITS MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT", (Pages 1-12)   |                |
|                                 | 38                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "OFFICIAL REPORTER'S TRANSCRIPT OF MARKMAN HEARING", (Pages 1-106)   |                |
|                                 | 39                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP TECHNOLOGIES' BREIF IN OPPOSITION TO SCI'S MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT", (Pages 1-18)  |                |
|                                 | 40                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "DECLARATON OF CHRISTOPHER W. MACOSKO", (Pages 1-8)  |                |

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|   |       | Filing Date              | November 26, 2003   |
|   |       | First Named Inventor     | Ihab M. Hekal       |
|   |       | Art Unit                 | 1732                |
|   |       | Examiner Name            | Suzanne E. McDowell |
| Sheet 8   | of 13 | Attorney Docket Number   | 62357.016301        |

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| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.                                | T <sup>2</sup> |
| sn                              | 41                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SECOND DECLARATION OF CHRISTOPHER W. MACOSKO", (Pages 1-6)   |                |
|                                 | 42                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: SCI'S REPLY TO SUR-REPLY BRIEF REGARDING SCI'S MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT", (Pages 1-5)   |                |
|                                 | 43                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S MOTION TO DISMISS ALL CLAIMS RELATING TO U.S. PATENT NO. 6,124,006 FOR LACK OF A JUSTICIABLE CONTROVERSY", (Pages 1-3)   |                |
|                                 | 44                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S MEMORANDUM IN SUPPORT OF ITS MOTION TO DISMISS ALL CLAIMS RELATING TO U.S. PATENT NO. 6,124,006 FOR LACK OF A JUSTICABLE-CONTROVERSY (Pages 1-4)   |                |
|                                 | 45                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S OPPOSITION TO DISMISS ALL CLAIMS RELATING TO U.S. PATENT NO. 6,124,006 FOR LACK OF A JUSTICIALBLE CONTROVERSY AND SCI'S-CROSS-MOTION IN LIMINE REGARDING THE PRESUMPTION OF VALIDITY", (Pages 1-11)  |                |
|                                 | 46                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S REPLY IN SUPPORT OF ITS MOTION TO DISMISS ALL CLAIMS RELATING TO U.S. PATENT NO. 6,124,006 FOR LACK OF A JUSTICIABLE-CONTROVERSY AND CSP'S OPPOSITION TO SCI'S CROSS-MOTION IN LIMINE REGARDING THE PRESUMPTION OF VALIDITY", (Pages 1-13) |                |
|                                 | 47                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S REPLY IN SUPORT OF ITS MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT", (Pages 1-21)   |                |

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|   |       | First Named Inventor     | Ihab M. Hekal       |
|   |       | Art Unit                 | 1732                |
|   |       | Examiner Name            | Suzanne E. McDowell |
| Sheet 9   | of 13 | Attorney Docket Number   | 62357.016301        |

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| 8m                              | 48                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP TECHNOLOGIES' SURREPLY IN OPPOSITION TO SCI'S MOTION FOR SUMMARY JUDGMENT OF NO LITERAL INFRINGEMENT", (Page 1-17)  |                |
|                                 | 49                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: SCI'S MEMORANDUM OF LAW IN SUPPORT OF ITS MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-35)  |                |
|                                 |                       | (With Attachment Cited Below)   |                |
|                                 | 50                    | (Attachment No. 1: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "CSP's Fourth Supplemental Responses To SCI's First Set-  |                |
|                                 |                       | Of Interrogatories Nos. 1-4") (Pages 1-15) With Attachment)   |                |
|                                 | 51                    | (Attachment No. 2: Hekal, "United States Patent No. 5,911,937")   |                |
|                                 | 52                    | (Attachment No. 3: Hekal, "United States Patent No. 6,124,006")   |                |
|                                 | 53                    | (Attachment No. 4: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "CSP's Answers To Plaintiff SCI's First Set Of Requests For Admission", (Pages 1-4)   |                |
|                                 | 54                    | (Attachment No. 5: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "CSP's Responses to SCI's Third Set Of Interrogatories Nos. 7-11", (Pages 1-9)  |                |
|                                 |                       |   |                |

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|   | <b>Filing Date</b>            | November 26, 2003   |
|   | <b>First Named Inventor</b>   | Ihab M. Hekal       |
|   | <b>Art Unit</b>               | 1732                |
|   | <b>Examiner Name</b>          | Suzanne E. McDowell |
| Sheet <b>10</b> of <b>13</b>  | <b>Attorney Docket Number</b> | 62357.016301        |

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|--|-----------------------|---|----------------|
|  | 55                    | (Attachment No. 6: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Declaration Of Professor Donald R. Paul In Support Of SCI's Motion For Summary Judgment Of-<br>Patent Invalidity", (Pages 1-6)   |                |
|  | 56                    | (Attachment No. 7: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.:<br>"Deposition Transcript Of Ihab Hekal"(September 24, 2004)(7 Pages)   |                |
|  | 57                    | (Attachment No. 8: "Hekal, United States Patent No. 6,130,263")   |                |
|  | 58                    | (Attachment No. 9: "Hekal, United States Patent No. 6,214,255 B1")  |                |
|  | 59                    | (Attachment No. 10: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Deposition Of Glenn Frederickson Taken On Tuesday, October 12, 2004", (19 Pages)  |                |
|  | 60                    | (Attachment No. 11: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.:<br>"Videotaped Deposition Of Defendant By Ihab Hekal", (September 23, 2004)<br>(7 Pages)   |                |
|  | 61                    | (Attachment No. 12: Lancessenr, "United States Patent No. 5,432,214")   |                |
|  |                       |   |                |

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| First Named Inventor   | Ihab M. Hekal       |
| Art Unit               | 1732                |
| Examiner Name          | Suzanne E. McDowell |
| Attorney Docket Number | 62357.016301        |

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|--------------------|-----------------------|---|----------------|
| SM                 | 62                    | (Attachment No. 13: "Chart Comparing U.S. Patent No. 5,911,937, 6,124,006 and 6,214,255 to "SCI Infringing Product", (Pages 1-5)  |                |
|                    | 63                    | (Attachment No. 14: "Project On Morphology Control In Polymer-Polymer-Particle Alloys", (Pages 1-2)   |                |
|                    | 64                    | (Attachment No. 15: "European Patent Application No. 0,401,666 A2")   |                |
|                    | 65                    | (Attachment No. 16: "Shigeta et al., United States patent No. 5,078,909")   |                |
|                    | 66                    | (Attachment No. 17: "International Application No. PCT/US96/05261")   |                |
|                    | 67                    | (Attachment No. 18: "Hekal, United States Patent No. 6,174,952 B1")   |                |
|                    | 68                    | (Attachment No. 19: "Hekal, United States Patent No. 6,194,079 B1")   |                |
|                    | 69                    | (Attachment No. 20: "Hekal, United States Patent No. 6,465,532 B1")   |                |
|                    | 70                    | (Attachment No. 21: "Hekal, United States Patent No. 6,486,231 B1")   |                |
|                    | 71                    | (Attachment No. 22: "Memo To Dr.Hekal From Rob Garren")   |                |

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| Examiner Name          | Suzanne E. McDowell |
| Attorney Docket Number | 62357.016301        |

Sheet 12

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|--------------------|-----------------------|---|----------------|
| 82                 | 72                    | (Attachment No. 23: Sud-Chemie, Inc. Vs. CSP Technologies, Inc.: "Deposition Of Robert Garren, on July 8, 2004", (4 Pages)  |                |
|                    |                       |   |                |
|                    | 73                    | (Attachment No. 24: "Letter To Calvin L. Loop From Robert S. Abrams") (With Attachment)   |                |
|                    | 74                    | (Attachment No. 25: "Letter To Calvin L. Loop From Robert S. Abrams")   |                |
|                    | 75                    | (Attachment No. 26: "Memo To Calvin Loop From Rob Garren")  |                |
|                    | 76                    | (Attachment No. 27: "Memo To Calvin Loop From Beki Urioste")  |                |
|                    | 77                    | (Attachment No. 28: "Memo To Dr. Hekal, Clavin Loop, Robert Abrams From Rob Garren")  |                |
|                    | 78                    | (Attachment No. 29: "Summary On New Polymer Technology")  |                |
|                    | 79                    | (Attachment No. 30: "Diagrams")   |                |
|                    | 80                    | (Attachment No. 31: "Diagrams")   |                |

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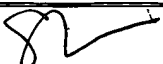
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|  | 81                    | (Attachment No. 32: "Diagrams")   |                |
|   | 82                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP TECHNOLOGIES INC.'S OPPOSITION TO SUD-CHEMIE, INC.'S SUMMARY JUDGMENT MOTION AND EXHIBITS 7, 9A, 9B, 9C, 9D, 9E, 12, 14, 15 & 17",<br>(Pages 1-34)  |                |
|   | 83                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S REPLY BRIEF IN SUPPORT OF ITS MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-21)   |                |
|   | 84                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "CSP'S LOCAL RULE 56.1(d) SURREPLY IN OPPOSITION TO SCI'S MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-10)  |                |
|   | 85                    | SUD-CHEMIE, INC. VS. CSP TECHNOLOGIES, INC.: "SCI'S MOTION FOR SUMMARY JUDGMENT OF PATENT INVALIDITY", (Pages 1-2)  |                |
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| Examiner Signature  | Date Considered 5/05 |
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